



PTO/SB/96 (08-00)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Knud Erik BÆKGAARD et al.

Application No./Patent No.: 09/688,216

Filed/Issue Date: 10/16/2000

Entitled: ELECTRONIC STETHOSCOPE

Bang & Olufsen Technology A/S

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011514, Frame 0175, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

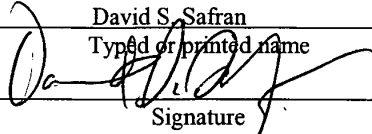
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 23, 2002

Date

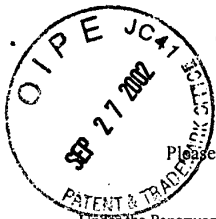
David S. Safran

Typed or printed name


Signature

Attorney of Record

Title



2644 \$

Please type a plus sign (+) inside this box → [+]

PTO/SB/21 (08-00)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/688,216	
	Filing Date	10/16/2000	
	First Named Inventor	Knud Eric BÆKGAARD et al.	
	Group Art Unit	2644	
	Examiner Name	L.A. Grier	
Total Number of Pages in This Submission		Attorney Docket Number	742114-5

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer and Statement Under 37 CFR 3.73(b) <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Other RECEIVED OCT 01 2002 Technology Center 2600
Remarks	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	<u>David S. Safran, Reg. No. 27,997</u> Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102
Signature	
Date	September 23, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 23, 2002	
Type or printed name	K.M. McManus
Signature	
Date	September 23, 2002

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FEE TRANSMITTAL FOR FY 2002 <i>Patent fees are subject to annual revision.</i>		<i>Complete if Known</i>	
		Application Number	09/688,216
		Filing Date	10/16/2000
		First Named Inventor	Knud Eric BÆKGAARD et al.
		Examiner Name	L.A. Grier
		Group Art Unit	2644
TOTAL AMOUNT OF PAYMENT	(\$256.00)	Attorney Docket No.	742114-5

METHOD OF PAYMENT		FEE CALCULATION (continued)		
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES		
Deposit Account Number	19-2380(742114-5)	Fee Code	Large Entity Fee (\$)	
Deposit Account Name	Nixon Peabody LLP	Fee Code	Small Entity Fee (\$)	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		Fee Description	Fee Paid	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		105 130 205 65	Surcharge - late filing fee or oath	
2. <input checked="" type="checkbox"/> Payment Enclosed:		127 50 227 25	Surcharge - late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139 130 139 130	Non-English transaction	
FEE CALCULATION		147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination	
1. BASIC FILING FEE		112 920* 112 920*	Requesting publication of SIR prior to Examiner action	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
101 740 201 370	Utility filing fee	115 110 215 55	Extension for reply within first month	\$110.00
106 330 206 165	Design filing fee	116 400 216 200	Extension for reply within second month	
107 510 207 255	Plant filing fee	117 920 217 460	Extension for reply within third month	
108 740 208 370	Reissue filing fee	118 1,440 218 720	Extension for reply within fourth month	
114 160 214 80	Provisional filing fee	128 1,960 228 980	Extension for reply within fifth month	
SUBTOTAL (1) (\$)		0		
2. EXTRA CLAIM FEES		119 320 219 160	Notice of Appeal	
Total Claims	22	120 320 220 160	Filing a brief in support of an appeal	
Independent Claims	1	121 280 221 140	Request for oral hearing	
Multiple Dependent		138 1,510 138 1,510	Petition to institute a public use proceeding	
Extra Claims		140 110 240 55	Petition to revive - unavoidable	
Fee from below		141 1,280 241 640	Petition to revive - unintentional	
Fee Paid		142 1,280 242 640	Utility issue fee (or reissue)	
2		143 460 243 230	Design issue fee	
X		144 620 244 310	Plant issue fee	
18.		122 130 122 130	Petitions to the Commissioner	
=		123 50 123 50	Processing fee under 37 CR 1.17(q)	
36.00		126 180 126 180	Submission of Information Disclosure Stmt	
Total Claims		581 40 581 40	Recording each patent assignment per property (times number of properties)	
22		146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
-20** =		149 740 249 370	For each additional invention to be examined (37 CFR § 1.29(b))	
2		179 740 279 370	Request for Continued Examination (RCE)	
X		169 900 169 900	Request for expedited examination of a design application	
0		Other fee (specify) Terminal Disclaimer		\$110.00
84.		* Reduced by Basic Filing Fee Paid		
=		SUBTOTAL (3)		(\$220.00)
0				
280.				
=				
0				
Large Entity Fee Code (\$)				
Small Entity Fee Code (\$)				
103 18 203 9		Claims in excess of 20		
102 84 202 42		Independent claims in excess of 3		
104 280 204 140		Multiple dependent claim, if not paid		
109 84 209 42		** Reissue independent claims over original patent		
110 18 210 9		** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)		(\$36.00)		
**or number previously paid, if greater; For Reissues, see above				

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OCT 01 2002

SUBMITTED BY		<i>Complete (if applicable)</i>	
Name (Print/Type)	David S. Saffran	Registration No. (Attorney/Agent)	27,997
Signature		Telephone	703-770-9300
		Date	September 23, 2002

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on September 23, 2002.
Name: K.M. McManus